

Federal Operating Permit Program (40 CFR Part 71)  
**GENERAL INFORMATION AND SUMMARY (GIS)**

**A. Mailing Address and Contact Information**

Facility name \_\_\_\_\_

Mailing address: Street or P.O. Box \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_ - \_\_\_\_\_

Contact person: \_\_\_\_\_ Title \_\_\_\_\_

Telephone (\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_ Ext. \_\_\_\_\_

Facsimile (\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_

**B. Facility Location**

Temporary source?  Yes  No Plant site location \_\_\_\_\_

\_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ County \_\_\_\_\_ EPA Region \_\_\_\_\_

Is the facility located within:

Indian lands?  YES  NO An offshore source in federal waters?  YES  NO

Non-attainment area?  YES  NO If yes, for what air pollutants? \_\_\_\_\_

Within 50 miles of affected State?  YES  NO If yes, What State(s)? \_\_\_\_\_

**C. Owner**

Name \_\_\_\_\_ Street/P.O. Box \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_ - \_\_\_\_\_

Telephone (\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_ Ext \_\_\_\_\_

**D. Operator**

Name \_\_\_\_\_ Street/P.O. Box \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_ - \_\_\_\_\_

Telephone (\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_ Ext \_\_\_\_\_

**E. Application Type**

Mark only one permit application type and answer the supplementary question appropriate for the type marked.

Initial Permit     Renewal     Significant Mod     Minor Permit Mod(MPM)

Group Processing, MPM     Administrative Amendment

For initial permits, when did operations commence? \_\_\_\_/\_\_\_\_/\_\_\_\_

For permit renewal, what is the expiration date of current permit? \_\_\_\_/\_\_\_\_/\_\_\_\_

**F. Applicable Requirement Summary**

Mark the types of applicable requirements that apply:

SIP                       FIP/TIP                       PSD                       Non-attainment NSR

Minor source NSR     Section 111                       Phase I acid rain     Phase II acid rain

Stratospheric ozone     OCS regulations                       NESHAP                       Sec. 112(d) MACT

Sec. 112(g) MACT     Early reduction of HAP     Sec 112(j) MACT     RMP [Sec.112(r)]

Section 129                       NAAQS, increments or visibility but for temporary sources (This is rare)

Is the source subject to the Deepwater Port Act?     YES     NO

Has a risk management plan been registered?     YES     NO    Agency \_\_\_\_\_

Phase II acid rain application submitted?     YES     NO    If YES, Permitting Authority \_\_\_\_\_

**G. Source-Wide PTE Restrictions and Generic Applicable Requirements**

Cite and describe any emissions-limiting requirements and/or facility-wide "generic" applicable requirements.


**H. Process Description**

List processes, products, and SIC codes for the facility.

Process	Products	SIC

**I. Emission Unit Identification**

Assign an emissions unit ID and describe each emissions unit at the facility. Control equipment and/or alternative operating scenarios associated with emissions units should be listed on a separate line. Applicants may exclude from this list any insignificant emissions units or activities.

Emissions Unit ID	Description of Unit

**J. Facility Emissions Summary**

Enter potential to emit (PTE) for the facility as a whole for each regulated air pollutant listed below. Enter the name of the single HAP emitted in the greatest amount and its PTE. For all pollutants, stipulations to major source status may be indicated by entering "major" in the space for PTE. Indicate the total actual emissions for fee purposes for the facility in the space provided. Applications for permit modifications need not include actual emissions information.

NOx _____ tons/yr	VOC _____ tons/yr	SO2 _____ tons/yr
PM-10 _____ tons/yr	CO _____ tons/yr	Lead _____ tons/yr
Total HAP _____ tons/yr		
Single HAP with greatest amount _____		PTE _____ tons/yr
Total of regulated pollutants (for fee calculation), Sec. F, line 5 of form FEE _____ tons/yr		

**K. Existing Federally-Enforceable Permits**

Permit number(s) _____	Permit type _____	Permitting authority _____
Permit number(s) _____	Permit type _____	Permitting authority _____

**L. Emission Unit(s) Covered by General Permits**

Emission unit(s) subject to general permit _____
Check one:    ___ Application made        ___ Coverage granted
General permit identifier _____      Expiration Date ___/___/___

**M. Cross-referenced Information**

Does this application cross-reference information?    ___ YES    ___ NO    (If yes, see instructions)
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*INSTRUCTIONS FOLLOW*

## INSTRUCTIONS FOR GIS, GENERAL INFORMATION AND SUMMARY

Use this form to provide general and summary information about the part 71 source (facility or plant) and to indicate the permitting action requested. Submit this form once for each part 71 source. Several sections of this form ask for information you may not know until you complete other part 71 forms.

**Section A** - Enter the facility's official or legal name. The contact person should be a person familiar with the day-to-day operation of the facility, such as a plant site manager or similar individual.

**Section B** – If different from the mailing address, include the plant site location.

**Sections C and D** - If more than one owner or operator, list them on an attachment.

**Section E** - Mark initial permit issuance if you are applying for the first time. For all types of permit revisions, applicants must provide a brief narrative description of the changes.

**Section F** - Indicate the broad categories of applicable requirements that apply to the facility or any emissions units. Note that acid rain requirements must be included in part 71 permits the same as other requirements. Also, see definition of "applicable requirement" in part 71. Offshore sources in Federal waters may be either Outer Continental shelf (OCS) sources or Deepwater Port Act (DPA) sources, but not both. The DPA is not an applicable requirement, but the EPA needs to know if such requirements apply because the EPA coordinates with other Federal agencies on such projects.

**Section G** – List emission-limiting requirements that apply to the facility as a whole, such as restrictions on potential to emit or applicable requirements that apply identically to all emission units at a facility.

**Section H** - List, in descending order of priority, the 4-digit standard industrial classification (SIC) code(s) that best describes your facility in terms of its principal products or processes, and provide a brief narrative description for each classification. For a listing of SIC codes, see the Standard Industrial Classification Manual, 1987 edition, prepared by the Executive Office of the President, Office of Management and Budget, from the Government Printing Office, Washington DC.

**Section I** - Assign a unique identifier (unit ID) under the "emissions unit ID" column and provide a text description for each significant emissions unit at facility. These IDs will be used in other part 71 forms. A "significant emissions unit" is any unit that is not an insignificant emission unit or activities. Note that unit IDs need only be assigned if they will be referenced in subsequent portions of the application. You may choose any numbering system you wish to assign unit IDs. If a unit ID was previously assigned, use the original ID. If the unit is a new unit, assign a unit ID consistent with the existing units' IDs.

You may group emissions units, activities, or pieces of equipment together and assign a single unique unit ID when they are subject to the same applicable requirement(s) and will have the same monitoring, record keeping, and reporting requirements in the permit.

In addition, assign a unit ID for each alternative operating scenario and each piece of pollution control equipment. When possible, assign these numbers to show with which emissions units or processes these scenarios or control devices are associated.

**Section J** – Enter the facility-wide PTE for each listed air pollutant for applicability purposes and enter the facility-wide actual emissions of all pollutants that count for fee purposes. Applications for permit revisions should report PTE after the change for the emissions units affected by the change.

Completion of form **PTE** is recommended prior to the entry of PTE information in this section.

"NO<sub>x</sub>" is for nitrogen oxides,

"VOC" is for volatile organic compounds,

"SO<sub>2</sub>" is for sulfur dioxide,

"PM<sub>10</sub>" is for particulate matter with an aerodynamic diameter of 10 micrometers or less,

"CO" is for carbon monoxide, and

"Lead" is for elemental lead regulated by a NAAQS ("compounds of lead" are HAP).

Note that the emissions of greenhouse gasses (GHGs) are not counted for major source applicability purposes or for part 71 fee purposes, so no need to enter them anywhere on this form.

Note that a source may be major for a single HAP or any combination of HAP.

Include fugitive emissions when reporting PTE to the extent that they count toward major source applicability. All fugitive emissions of HAP count toward major source applicability.

Sources may also stipulate to major source status for the pollutants indicated on the form by entering "Major" in the space provided for PTE values.

You may use the value for actual emissions from section F, line 5, of form **FEE**. When totaling actual emissions for fee purposes, include all emissions, including fugitive emissions, regardless of whether they count for applicability purposes.

**Section L** - If any emissions unit within your facility is applying, has applied, or currently has a general permit, identify the emissions unit ID and name of the unit, consistent with section I of this form.

**Section M** - Attach copies of any cross-referenced documents that are not publicly available or otherwise available to the permitting authority.

END